

TRANSMITTAL FORM

Application Serial Number	10/799,428
Filing Date	March 12, 2004
First Named Inventor	Rowan
Group Art Unit	2001 2189
Examiner Name	Not yet assigned Shawn Gu
Attorney Docket No.	RVI-005
Patent No.	Not applicable
Issue Date	Not applicable

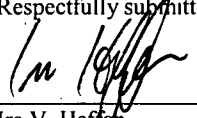
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]		
<input type="checkbox"/> Petition for Extension of Time		
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (3 pgs.) <input checked="" type="checkbox"/> Form PTO-1449 (12 pgs.) <input checked="" type="checkbox"/> Copies of Cited References (B1-B9, C1-C32)		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

CORRESPONDENCE ADDRESS

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Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

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Date: December 8, 2004
Reg. No. 41,059
Tel. No.: (617) 248-7176
Fax No.: (617) 248-7100

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.G./



PATENT
Attorney Docket No. RVI-005
(9686/8)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Rowan et al. CONFIRMATION NO.: 8147
SERIAL NO.: 10/799,428 GROUP NO.: 2661
FILING DATE: March 12, 2004 EXAMINER: Not yet assigned
TITLE: METHOD FOR IDENTIFYING THE TIME AT WHICH DATA WAS
WRITTEN TO A DATA STORE

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8th day of December, 2004.


Alison R. Sher

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (3 pgs.);
3. Form PTO-1449 (12 pgs.);
4. Copies of Cited References B1-B9 and C1-C32; and
5. Return Receipt Postcard.

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PATENT
Attorney Docket No. RVI-005
(9686/8)

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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents (B1-B9) and non-patent publications (C1-C32) are enclosed.

Applicants would also like to direct the Examiner's attention to the following related pending patent applications:

Serial No.	Applicant(s)	Filing Date
10/668,833	Rowan et al.	9/23/03
10/778,436	Rowan et al.	2/13/04
10/780,004	Rowan et al.	2/17/04
10/778,561	Rowan et al.	2/13/04
10/924,669	Rowan et al.	8/24/04
10/924,655	Passerini et al.	8/24/04
10/924,656	Passerini	8/24/04

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Serial No.	Applicant(s)	Filing Date
10/924,582	Passerini	8/24/04
10/924,560	Perry	8/24/04
10/924,640	Delgado et al.	8/24/04
10/924,559	Darcy	8/24/04
10/924,646	Rowan et al.	8/24/04
10/924,668	Perry et al.	8/24/04
10/924,652	Rowan et al.	8/24/04

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

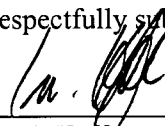
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.G./

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Supplemental Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Supplemental Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Supplemental Information Disclosure Statement.

Respectfully submitted,



Ira V. Hefan
Attorney for the Applicants
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Date: December 8, 2004
Reg. No. 41,059

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FORM PTO - 1449				ATTORNEY DOCKET NO.: RVI-005			
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				APPLICANTS: Rowan et al.			
				SERIAL NO.: 10/799,428			
				FILING DATE: March 12, 2004			
				GROUP: 2001 2189			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A38	3,444,528	5/13/69	Lovell et al.			
	A39	3,533,082	10/6/70	Schnabel et al.			
	A40	3,715,729	2/6/73	Mercy			
	A41	4,141,066	2/20/79	Keiles			
	A42	4,156,907	5/29/79	Rawlings et al.			
	A43	4,164,017	8/7/79	Randell et al.			
	A44	4,191,996	3/4/80	Chesley			
	A45	4,351,023	9/21/82	Richer			
	A46	4,378,588	3/29/83	Katzmann et al.			
	A47	4,403,303	9/6/83	Howes et al.			
	A48	4,453,215	6/5/84	Reid			
	A49	4,459,658	7/10/84	Gabbe et al.			
	A50	4,479,214	10/23/84	Ryan			
	A51	4,483,001	11/13/84	Ryan			
	A52	4,484,275	11/20/84	Katzman et al.			
	A53	4,486,826	12/4/84	Wolff et al.			
	A54	4,498,145	2/5/85	Baker et al.			
	A55	4,507,751	3/26/85	Gawlick et al.			
	A56	4,521,847	6/4/85	Ziehm et al.			
	A57	4,581,701	4/8/86	Hess et al.			
	A58	4,607,365	8/19/86	Greig et al.			
	A59	4,608,688	8/26/86	Hansen et al.			
	A60	4,639,856	1/27/87	Hrustich et al.			

EXAMINER /Shawn Gu/	DATE CONSIDERED 09/12/2008
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FORM PTO - 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: RVI-005 APPLICANTS: Rowan et al. SERIAL NO.: 10/799,428 FILING DATE: March 12, 2004 GROUP: 2661 2189			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A61	4,648,031	3/3/87	Jenner			
	A62	4,652,940	3/24/87	Sumiyoshi			
	A63	4,654,819	3/31/87	Stiffler et al.			
	A64	4,654,857	3/31/87	Samson et al.			
	A65	4,674,038	6/16/87	Brelsford et al.			
	A66	4,703,421	10/27/87	Abrant et al.			
	A67	4,703,481	10/27/87	Fremont			
	A68	4,713,811	12/15/87	Frey			
	A69	4,727,516	2/23/88	Yoshida et al.			
	A70	4,736,339	4/5/88	Crabbe, Jr.			
	A71	4,750,177	6/7/88	Hendrie et al.			
	A72	4,754,397	6/28/88	Varaiya et al.			
	A73	4,819,154	4/4/89	Stiffler et al.			
	A74	4,878,167	10/31/89	Kapulka et al.			
	A75	4,959,774	9/25/90	Davis			
	A76	5,089,958	2/18/92	Horton et al.			
	A77	5,212,784	5/18/93	Sparks			
	A78	5,241,670	8/31/93	Eastridge et al.			
	A79	5,255,270	10/19/93	Yanai et al.			
	A80	5,269,022	12/7/93	Shinjo et al.			
	A81	5,297,258	3/22/94	Hale et al.			
	A82	5,331,646	7/19/94	Krueger et al.			
	A83	5,339,406	8/16/94	Carney et al.			
	A84	5,357,509	10/18/94	Ohizumi			

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U.S. PATENT DOCUMENTS							
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A85	5,404,361	4/4/95	Casoro et al.			
	A86	5,404,508	4/4/95	Konrad et al.			
	A87	5,450,546	9/12/95	Krakirian			
	A88	5,469,573	11/21/95	McGill, III et al.			
	A89	5,483,468	1/9/96	Chen et al.			
	A90	5,487,160	1/23/96	Bemis			
	A91	5,524,205	6/4/96	Lomet et al.			
	A92	5,530,846	6/25/96	Strong			
	A93	5,535,188	7/9/96	Dang et al.			
	A94	5,557,770	9/17/96	Bhide et al.			
	A95	5,572,659	11/5/96	Iwasa et al.			
	A96	5,592,648	1/7/97	Schultz et al.			
	A97	5,598,528	1/28/97	Larson et al.			
	A98	5,604,853	2/18/97	Nagashima			
	A99	5,604,862	2/18/97	Midgely et al.			
	A100	5,623,598	4/22/97	Voigt et al.			
	A101	5,634,096	5/27/97	Baylor et al.			
	A102	5,638,509	6/10/97	Dunphy et al.			
	A103	5,640,561	6/17/97	Satoh et al.			
	A104	5,649,152	7/15/97	Ohran et al.			
	A105	5,659,747	8/19/97	Nakajima			
	A106	5,677,952	10/14/97	Blakley, III et al.			
	A107	5,715,438	2/3/98	Silha			
	A108	5,717,849	2/10/98	Brady			

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U.S. PATENT DOCUMENTS							
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A109	5,724,501	Dewey et al.				
	A110	5,729,719	Gates				
	A111	5,740,397	Levy				
	A112	5,740,433	Carr et al.				
	A113	5,742,792	Yanai et al.				
	A114	5,751,936	Larson et al.				
	A115	5,758,057	Baba et al.				
	A116	5,761,680	Cohen et al.				
	A117	5,777,874	Flood et al.				
	A118	5,778,392	Stockman et al.				
	A119	5,799,141	Galipeau et al.				
	A120	5,802,264	Chen et al.				
	A121	5,809,340	Bertone et al.				
	A122	5,829,045	Motoyama				
	A123	5,829,046	Tzelnic et al.				
	A124	5,835,915	Carr et al.				
	A125	5,835,953	Ohran				
	A126	5,857,208	Ofek				
	A127	5,893,140	Vahalia et al.				
	A128	5,907,685	Douceur				
	A129	5,933,368	Ma et al.				
	A130	5,961,613	DeNicola				
	A131	5,982,886	Itami et al.				
	A132	5,996,088	Frank et al.				

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EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A133	6,000,020	12/7/99	Chin et al.			
	A134	6,012,145	1/4/00	Mathers et al.			
	A135	6,014,690	1/11/00	Van Doren et al.			
	A136	6,018,746	1/25/00	Hill et al.			
	A137	6,035,306	3/7/00	Lowenthal et al.			
	A138	6,041,420	3/21/00	Skarpelos et al.			
	A139	6,044,444	3/28/00	Ofek			
	A140	6,054,987	4/25/00	Richardson			
	A141	6,061,770	5/9/00	Franklin			
	A142	6,064,247	5/16/00	Krakirian			
	A143	6,078,990	6/20/00	Frazier			
	A144	6,079,000	6/20/00	Cooper et al.			
	A145	6,081,877	6/27/00	Taki			
	A146	6,085,200	7/4/00	Hill et al.			
	A147	6,122,664	9/19/00	Boukobza et al.			
	A148	6,125,404	9/26/00	Vaglica et al.			
	A149	6,131,148	10/10/00	West et al.			
	A150	6,138,243	10/24/00	Mealey et al.			
	A151	6,173,377 B1	1/9/01	Yanai et al.			
	A152	6,205,527 B1	3/20/01	Goshey et al.			
	A153	6,230,282 B1	5/8/01	Zhang			
	A154	6,243,105 B1	6/5/01	Hoyer et al.			
	A155	6,347,365 B1	2/12/02	Leshem et al.			
	A156	6,357,015 B1	3/12/02	Yamakawa et al.			
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	A157	6,363,487 B1	3/26/02	Schneider			
	A158	6,366,987 B1	4/2/02	Tzelnic et al.			
	A159	6,369,820 B1	4/9/02	Bertram et al.			
	A160	6,374,363 B1	4/16/02	Wu et al.			
	A161	6,381,635 B1	4/30/02	Hoyer et al.			
	A162	6,385,707 B1	5/7/02	Maffezoni			
	A163	6,430,577 B1	8/6/02	Hart			
	A164	6,434,681 B1	8/13/02	Armangau			
	A165	6,434,710 B1	8/13/02	Sato et al.			
	A166	6,446,090 B1	9/3/02	Hart			
	A167	6,477,629 B1	11/5/02	Goshey et al.			
	A168	6,499,034 B1	12/24/02	Mackinlay			
	A169	6,505,248 B1	1/7/03	Casper et al.			
	A170	6,532,535 B1	3/11/03	Maffezoni et al.			
	A171	6,535,967 B1	3/18/03	Milillo et al.			
	A172	6,542,975 B1	4/1/03	Evers et al.			
	A173	6,549,992 B1	4/15/03	Armangau et al.			
	A174	6,553,392 B1	4/22/03	Mosher, Jr. et al.			
	A175	6,557,089 B1	4/29/03	Reed et al.			
	A176	6,560,614 B1	5/6/03	Barboy et al.			
	A177	6,581,185 B1	6/17/03	Hughes			
	A178	6,594,744 B1	7/15/03	Humlicek et al.			
	A179	6,618,794 B1	9/9/03	Sicola et al.			
	A180	6,622,263 B1	9/16/03	Stiffler et al.			

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EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A181	6,643,671 B2	11/4/03	Milillo et al.			
	A182	6,651,075 B1	11/18/03	Kusters et al.			
	A183	6,664,964 B1	12/16/03	Levin-Michael et al.			
	A184	6,665,779 B1	12/16/03	Polfer et al.			
	A185	6,667,743 B2	12/23/03	Bertram et al.			
	A186	6,684,229 B1	1/27/04	Luong et al.			
	A187	6,687,322 B1	2/3/04	Zhang et al.			
	A188	6,691,245 B1	2/10/04	DeKoning			
	A189	6,694,413 B1	2/17/04	Mimatsu et al.			
	A190	6,701,456 B1	3/2/04	Biessener			
	A191	6,704,730 B2	3/9/04	Moulton et al.			
	A192	6,711,572 B2	3/23/04	Zakharov et al.			
	A193	6,711,693 B1	3/23/04	Golden et al.			
	A194	6,732,125 B1	5/4/04	Autrey et al.			
	A195	6,732,171 B2	5/4/04	Hayden			
	A196	6,732,293 B1	5/4/04	Schneider			
	A197	2001/0013100 A1	8/9/01	Doblar et al.			
	A198	2001/0056438 A1	12/27/01	Ito			
	A199	2002/0010872 A1	1/24/02	Van Doren et al.			
	A200	2002/0103982 A1	8/1/02	Ballard et al.			
	A201	2002/0133512 A1	9/19/02	Milillo et al.			
	A202	2002/0144044 A1	10/3/02	Moon et al.			
	A203	2002/0199073 A1	12/26/02	Tamura et al.			
	A204	2003/0006988 A1	1/9/03	Alford, Jr. et al.			

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EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A205	2003/0014605 A1	1/16/03	Slater et al.			
	A206	2003/0018657 A1	1/23/03	Monday			
	A207	2003/0028726 A1	2/6/03	Gaertner et al.			
	A208	2003/0051109 A1	3/13/03	Cochran			
	A209	2003/0056136 A1	3/20/03	Aweya et al.			
	A210	2003/0061331 A1	3/27/03	Nakamura et al.			
	A211	2003/0061456 A1	3/27/03	Ofek et al.			
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FORM PTO - 1449					ATTORNEY DOCKET NO.: RVI-005				
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT					APPLICANTS: Rowan et al. SERIAL NO.: 10/799,428 FILING DATE: March 12, 2004 GROUP: 2661 2189				
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	WO 91/01026	1/24/91	WO			6/28/90	N	Y
	B2	WO 96/12232	4/25/96	WO			10/10/95	N	Y
	B3	WO 99/12101	3/11/99	WO			9/4/98	N	Y
	B4	WO 00/65447	11/2/00	WO			4/24/00	N	Y
	B5	WO 01/04801 A1	1/18/01	WO			7/10/00	N	Y
	B6	WO 01/33357 A1	5/10/01	WO			10/26/00	N	Y
	B7	WO 03/007148 A1	1/23/03	WO			7/15/02	N	Y
	B8	EP 1 091 229 A2	4/11/01	EP			10/6/00	N	Y
	B9	EP 0 751 462 B1	7/31/02	EP			4/26/96	N	Y
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		APPLICANTS: Rowan et al.	
		SERIAL NO.: 10/799,428	
		FILING DATE: March 12, 2004	
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OTHER ART, JOURNAL ARTICLES, ETC.			
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	C2	Castelletto et al., "DB2 for VSE & VM Archiving and Recovery" [formerly online], (1996) [retrieved on 10/26/04]. Retrieved from the Internet:< URL: http://web.archive.org/web/19990222065151/http://www.s390.ibm.com/vse/vsehtmls/vse8arc.htm >.	
	C3	"Commands for Optical Memory Block Devices." in T10, <i>Information Technology - SCSI-3 Block Commands</i> (Nov. 13, 1997) (pp. 72-73).	
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		SERIAL NO.: 10/799,428
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